



RULE-MAKING ORDER

(RCW 34.05.360)

CR-103 (7/22/01)

Agency: Department of Financial Institutions – Securities Division

- ☐ Permanent Rule
☐ Emergency Rule
☒ Expedited Rule Making

(1) Date of adoption: September 9, 2002

(2) Purpose: Currently, the introductory paragraphs to WAC 460-21B-060 and –22B-090 both refer to RCW 21.20.110(7). This statute was revised in 1998 to the effect that RCW 21.20.110(7) is now 21.20.110(1)(g). This rule proposal would correct WAC 460-21B-060 and –22B-090 so that they refer to RCW 21.20.110(1)(g). WAC 460-24A-145(5)(a) contains a typographical error. In the first sentence, “or” should read “of.” This proposal would correct this typographical error.

(3) Citation of existing rules affected by this order:

Repealed:
Amended: WAC 460-21B-060, WAC 460-22B-090, and WAC 460-24A-145.
Suspended:

(4) Statutory authority for adoption: RCW 21.20.450

Other Authority: RCW 21.20.110(1)(g)

PERMANENT RULE ONLY (Including Expedited Rule Making)

Adopted under notice filed as WSR 02-14-057 on 6/27/02 (date).

Describe any changes other than editing from proposed to adopted version: None

EMERGENCY RULE ONLY

Under RCW 34.05.350 the agency for good cause finds:

- ☐ (a) That immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.
- ☐ (b) That state or federal law or federal rule or a federal deadline for state receipt of federal funds requires immediate adoption of a rule.

Reasons for this finding:

(5.3) Any other findings required by other provisions of law as precondition to adoption or effectiveness of rule?:

- ☒ Yes ☐ No If Yes, explain: No rule may be made unless the Director of the Department of Financial Institutions finds that the action is necessary or appropriate in the public interest or for the protection of investors and consistent with the purposes fairly intended by the policy and provisions of RCW 21.20. The Director hereby makes such a finding with respect to this proposal.

(6) Effective date of rule:

Permanent Rules

- ☒ 31 days after filing
☐ Other (specify) _____*

Emergency Rules

- ☐ Immediately
☐ Later (specify) _____

*(If less than 31 days after filing, specific finding in 5.3 under RCW 34.05.380(3) is required)

CODE REVISER USE ONLY

**Filed September 17, 2002
WSR 02-19-093**

Name (Type or Print)

Helen Howell

Signature

/s/

Title

Director

Date

9/13/02

(COMPLETE REVERSE SIDE)

**Note: If any category is left blank, it will be calculated as zero.
No descriptive text.**

Count by whole WAC sections only, from the WAC number through the history note.
A section may be counted in more than one category.

The number of sections adopted in order to comply with:

Federal statute:	New	_____	Amended	_____	Repealed	_____
Federal rules or standards:	New	_____	Amended	_____	Repealed	_____
Recently enacted state statutes:	New	_____	Amended	_____	Repealed	_____

The number of sections adopted at the request of a nongovernmental entity:

New	_____	Amended	_____	Repealed	_____
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The number of sections adopted in the agency's own initiative:

New	_____	Amended	<u>3</u>	Repealed	_____
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The number of sections adopted in order to clarify, streamline, or reform agency procedures:

New	_____	Amended	<u>3</u>	Repealed	_____
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The number of sections adopted using:

Negotiated rule making:	New	_____	Amended	_____	Repealed	_____
Pilot rule making:	New	_____	Amended	_____	Repealed	_____
Other alternative rule making:	New	_____	Amended	<u>3</u>	Repealed	_____